

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

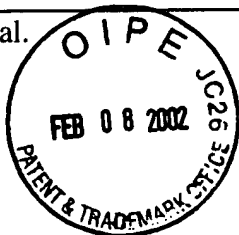
Applicant(s): Joseph et al.

Serial No.: 09/876,351

Filed: June 6, 2001

Title: Secure Inter-Node Communication

Attorney Docket No.: BEA920010008US1



Group Art Unit: 2151

Examiner: Unassigned

RECEIVED

FEB 14 2002

Technology Center 2100

Box DD

Assistant Commissioner for Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted under 37 CFR 1.97(b).

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

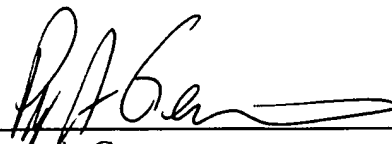
The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: 19 Nov 2001

IBM Corporation  
Intellectual Property Law Dept., EDO2-805  
15450 SW Koll Parkway  
Beaverton, OR 97006-6063  
(503) 578-5020 voice  
(503) 578-5040 fax

  
Pryor A. Garnett  
Attorney for Applicant  
Registration No. 32,136

**Complete if Known**

*(use as many sheets as necessary)*

1

of

1

09/876.351

6/6/2001

Joseph et al.

2151

Unassigned

BEA920010008US1

[illegible][illegible]Date  
Considered

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

BEA920010008US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: **D. Joseph et al.**

Serial No.: **09/876,351**

Filed: **June 6, 2001**

Group Art Unit: **2151**

Examiner: **Unassigned**

Attorney Docket No.: **BEA920010008US1**

Title: **SECURE INTER-NODE COMMUNICATION**

Box DD

Assistant Commissioner for Patents  
Washington, DC 20231

**RECEIVED**  
FEB 14 2002  
Technology Center 2100

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)**

I hereby certify that the enclosed or attached correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Box DD, Washington, D.C. 20231.

19 Mar 2001  
Date

[Signature]  
Pryor A. Garnett, Reg. No. 32,136

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Enclosed herewith is a Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

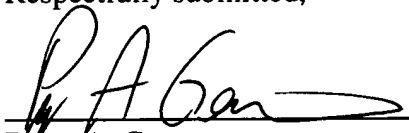
BEA920010008US1

**Provision of Copies of References**

- ☒ [X] Copies of all cited references are enclosed.
- ☐ [ ] A copy of the ABSTRACT of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ [ ] A partial translation of Reference \_\_\_\_ is enclosed herewith and forms a part hereof.
- ☐ [ ] A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ [ ] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.
- ☒ [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 501336. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: 19 Nov 2001

  
P. A. Garnett  
Registration No. 32,136

IBM Corporation  
Intellectual Property Law Dept., EDO2-805  
15450 SW Koll Parkway  
Beaverton, OR 97006-6063

(503) 578-5020 voice  
(503) 578-5040 fax